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Application Guide

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Sartorius Ultrafiltration Products in the Preparation of Biological Nanoparticles and Medical Nanocarriers – a Short Review

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Abstract

This short review outlines ultrafiltration of various biological nanoparticles and medical nanocarriers. Here, ultrafiltration is used to purify, concentrate and separate nanoparticles from substrates. The nanomaterials discussed comprise metals, polymers, lipids (in vesicles and micelles) and proteins. Guidance is provided for selection of the ideal performing ultrafiltration devices with the optimum molecular weight cutoff (MWCO) for these typical applications and materials.

Introduction

Paul Ehrlich was inspired by the idea of the "magic bullet"* when he for the first time described in theory toxic drugs assembled to so-called "Nanocarriers" in 1908.¹ Today, Nanocarriers have found multiple applications in modern medicine and biotechnology. A key application for these special nanomaterials is a targeted delivery of drugs where they act as transport modules (i. e. as nanoparticles, vesicles, or micelles) for the active ingredient.²³⁴⁵ This is assumed to be more effective and less toxic to the (human) organism compared to traditionally administered drug substances.⁶ Besides drug delivery, various further fields using Nanocarriers evolved during the last decades; e. g. magnetic resonance imaging or stem cell gene therapy with metal-based nanoparticles,⁷⁸ or optical imaging with quantum dots.⁹

Nanocarriers can be categorized by their starting material (i. e. metal-, lipid-, polymer-, and protein-based) and by their formation after preparation (i. e. vesicles, particles and micelles). In general, the preparation of a nanoparticle suspension or a vesicle dispersion in an aqueous medium consists of three steps: a) assembly of the Nanocarriers (for example, by injections, film hydration, or reverse phase evaporation), b) purification (for example, by chromatography, dialysis or ultrafiltration), and c) concentration (for example, by ultrafiltration or evaporation). This short review provides examples of recent literature dealing with the preparation of Nanocarriers. Particular focus is laid on the concentration and purification steps which were performed via ultrafiltration with Sartorius Vivaspin® or Vivaflow® devices with different pore sizes (respectively molecular weight cut-off, MWCO). The Vivaspin® portfolio spans a volume range from 0.1 to 20 mL, whereas the Vivaflow® system covers volumes from 0.1 to 5 liters. Thus, Sartorius offers an unrivaled wide range of processable sample volumes, membrane materials and MWCOs to meet the different requirements of their intended use. Challenges in this context are buffer exchange after synthesis, desalting and washing,^{10,11} exclusion of solubilized compounds,^{1213,14} or aggregates.¹⁵

Purification is essential to obtain isosmotic conditions for *in vivo* applications, to prevent aggregation or agglomeration and to remove free toxic drugs, ligands, or other substrates potentially triggering side effects. Concentration steps are essential to adjust the amount of pharmaceutical active ingredient in the drug and achieve the anticipated therapeutic or diagnostic effect.



During purification, the separation of free substances (starting material) from the desired Nanocarriers via sizeexclusion chromatography (SEC) leads to an unavoidable dilution and to the necessity of a subsequent concentration step. In contrast, dialysis purifies without significant dilution but a concentration step can still be mandatory, if higher Nanocarrier concentrations are necessary. Both separation methods require quite extensive, costly and timeconsuming manual handling. This drawback is overcome with the ultrafiltration utilized by centrifugation in Vivaspin® or with a peristaltic pump for the Vivaflow® system. This technique is less expensive and quickly performed with very little manual input. Noteworthy is that purification and concentration steps are performed simultaneously.¹⁶ After the Nanocarrier is purified, the determination of drug loading (conjugation or encapsulation efficiency) is commonly performed. The conjugation or encapsulation efficiency is one of the reference values to describe and characterize Nanocarriers. Other important properties are the zeta potential and the size distribution determined via photon correlation spectroscopy (PCS), high-resolution transmission electron microscopy (HRTEM) imaging, or dynamic light scattering (DLS). Prior to performing these different characterizations, a successful purification and concentration of the suspension or dispersion is essential.

In the following tables you can find an overview of publications using ultrafiltration steps for the purification and concentration of different kinds of Nanocarriers. Table 2 provides guidance on which devices and MWCOs to use.

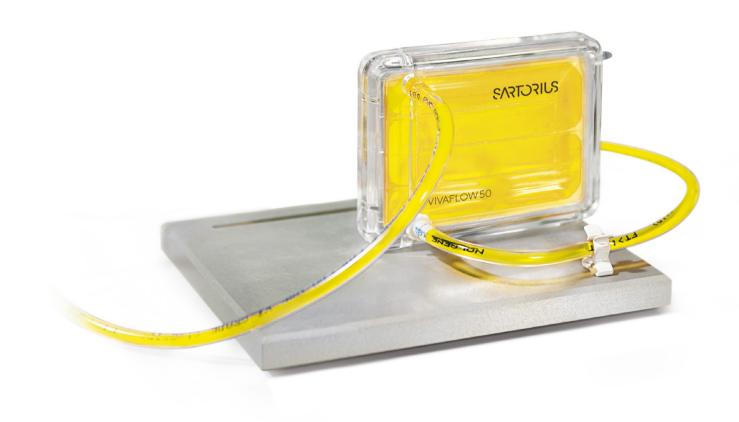


Table 1 summarizes examples of Nanocarrier ultrafiltration applications using Sartorius Vivaspin® or Vivaflow®:

| Nanocarrier: Nanoparticle, Vesicle, Micelle | Size distribution obtained via (HR)TEM or DLS, Z-Average via PCS and others-if reported | Application | Ref. |
|--|--|--|-------|
| Nanoparticles from metal, metal oxides a | and functionalized metals | | |
| Iron oxides nanoparticles with cisplatinbearing polymer coating | SD: 4.5 \pm 0.9 nm via X-Ray-Diffraction Analysis | Magnetic resonance imaging | 7 |
| Functionalized iron oxide nanoparticles | SD: 38 and 40 nm via DLS | Stem cell gene therapy and tracking | 8 |
| Gold nanoparticles | SD: 0.8 – 10.4 nm via Atomic Force Microscopy | Antimicrobial activity | 17 |
| Protein coated gold nanoparticles | SD: 15 and 80 nm via TEM | Drug delivery | |
| Functionalized gold nanoparticles | Core-SD: 2 nm via TEM Targeted imaging tool and antigen deliv | | 19 |
| Functionalized gadolinium-based nanoparticles | Z-Average: 1.1 ± 0.6 nm and 4 – 14 nm Diagnostic and therapeutic application | | 20, 2 |
| Functionalized nanocrystals | 10 to 20 nm | Quantum dots for imaging | 9 |
| Nanoparticles from polymers, functional | ized polymers and polymersomes | | |
| Polymer based Nanoparticles | | Drug delivery | 22 |
| Curdlan coated polymer nanoparticles | Z-Average: 280 – 480 nm depending on the composition | Macrophage stimulant activity and drug delivery | 23 |
| Docetaxel-carboxymethylcellulose Polymer Nanoparticles | Z-Average: 118 ± 1.8 nm Anti-cancer efficacy studies | | 4 |
| Functionalized Polymersomes | Z-Average: 185 nm | Surface functionalization studies | 3 |
| Lipid Nanoparticles and Liposomes | | | |
| Liposomes and micelles | Z-Average: 100 nm for Liposomes and 15 nm for micelles | lschemia-reperfusion injury | 25 |
| Solid lipid Nanoparticles | Z-Average: 100 – 120 nm depending on the used lipid | Drug delivery (Brain Targeting) | 26 |
| Bacterial outer membrane vesicles | SD: 124 nm via TRPS | Tunable resistive pulse sensing (TRPS) Analysis | 27 |
| Bacterial outer membrane vesicles | | Basic research | 28 |
| Bacterial outer membrane vesicles | SD: 95 nm | Basic research | 29 |
| Bacterial outer membrane vesicles | SD: 50 – 150 nm via TEM | Basic research | 30 |
| Liposomes | | Drug delivery | 2 |
| Liposomes | | Encapsulated hydrophilic drugs (Drug delivery) | 31 |
| Micelles | | | |
| Micelles | | Drug delivery | 4 |
| Hydrophobic drug micelles based on polymers | SD via DLS: 39 – 165 nm depending on compound in use | Drug delivery | 14 |
| Protein Nanoparticles | | | |
| Protein Nanoparticles | SD: 20 - 40 nm via DLS | Drug carrier studies | 32 |

SD = Size distribution

Table 2 lists example Sartorius devices and typical MWCOs used for each nanocarrier ultrafiltration application.

| Nanocarrier: Nanoparticle, Vesicle, Micelle | Sartorius Ultrafiltration Device | MWCO | Ultrafiltration purpose | Ref. |
|--|-------------------------------------|-----------------------|---|--------|
| Nanoparticles from metal, metal oxides a | and functionalized metal | s | | |
| Iron oxides nanoparticles with cisplatinbearing polymer coating | Vivaspin [®] 20 | 100 kDa | Purification and concentration | 7 |
| Functionalized iron oxide nanoparticles | Vivaspin [®] 20 | 100 kDa | Washing step | 8 |
| Gold nanoparticles | Vivaspin [®] 20 | 5 kDa | Purification step | 17 |
| Protein coated gold nanoparticles | Vivaspin [®] 6 | 10 kDa | Separation of Nanoparticles Dyes and washing | 18 |
| Functionalized gold nanoparticles | Vivaspin® | 10 kDa | Purification step | 19 |
| Functionalized gadolinium-based nanoparticles | Vivaspin® | 5 kDa and 10 kDa | Purification and concentration | 20, 21 |
| Functionalized nanocrystals | Vivaspin® | 300 kDa and 50 kDa | Separation of quantum dots-antibody conjugates from starting material (prior to enumeration) | 9 |
| Nanoparticles from polymers, functional | ized polymers and polym | iersomes | | |
| Polymer based Nanoparticles | Vivaspin® | 30 kDa | Purification and concentration | 22 |
| Curdlan coated polymer nanoparticles | Vivaspin [®] 20 | 3 kDa | Washing | 23 |
| Docetaxel-carboxymethylcellulose Polymer Nanoparticles | Vivaspin® | 10 kDa | Concentration | 4 |
| Functionalized Polymersomes | Vivaspin [®] 20 | 10 kDa | Concentration | 3 |
| Lipid Nanoparticles and Liposomes | | | | |
| Liposomes and micelles | Vivaspin [®] 20 | 100 kDa | Concentration | 25 |
| Solid lipid Nanoparticles | Vivaflow [®] 50 | 100 kDa | Purification | 26 |
| Bacterial outer membrane vesicles | Vivaflow [®] 200 | 100 kDa | Buffer exchange and concentration | 27 |
| Bacterial outer membrane vesicles | Vivaspin [®] 500 and 20 | 100 kDa | Buffer exchange and concentration | 28 |
| Bacterial outer membrane vesicles | Vivaflow [®] 200 | 100 kDa | Buffer exchange and concentration | 29 |
| Bacterial outer membrane vesicles | Vivaspin® | 100 kDa | Buffer exchange and concentration | 30 |
| Liposomes | Vivaspin® | 100 kDa | External buffer exchange | 2 |
| Liposomes | Vivaflow [®] 50 | 100 kDa | Elimination of the free drug | 31 |
| Micelles | | | | |
| Micelles | Vivaspin® | 30 kDa | Separation of free substrate and concentration | 4 |
| Hydrophobic drug micelles based on polymers | Vivaflow® | | Surfactant removal | 14 |
| Protein Nanoparticles | | | | |
| Protein Nanoparticles | Vivaspin® 500 | 3 kDa | Separation of the free from the encapsulated drug (Drug binding quantification by subsequent UV-vis analysis) | 32 |

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